



Patent

Docket Number: AM-00102.P.1.1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
Efimov et al.)	Examiner: To be determined
Application No.: 10/072,975)	Art Unit: To be determined
Filed: February 9, 2002)	
For: OLIGONUCLEOTIDE)	
ANALOGUES, METHODS OF SYNTHESIS AND METHODS)	
OF USE))	

Assistant Commissioner for Patents Washington D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Applicants are responding to the Notice to File Missing Parts of Nonprovisional Application mailed April 26, 2002, notifying Applicants that the Oath or Declaration filed with the present application was unsigned. Enclosed herewith are the signed declaration and our check for \$65.00 for the late oath or declaration surcharge.

Applicants are responding within two months from the mailing of the Notice to File Missing Parts of Nonprovisional Application. Thus no additional fee is deemed necessary.

It is respectfully requested that the attached postcard be stamped and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to <u>Deposit Account 501321</u> in the name of David R. Preston & Associates having <u>Customer No.: 24232</u>.

Date: 12002

Respectfully submitted,

David R. Preston Reg. No. 38,710

David R. Preston & Associates, A.P.C. 12625 High Bluff Drive Suite 205 San Diego, CA 92130

Telephone: 858.724.0375 Facsimile: 858.724.0384





PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/072,975

02/09/2002

Vladimir Efimov

AM-102.P.1.1-US

CONFIRMATION NO. 5096

24232 DAVID R PRESTON & ASSOCIATES 12625 HIGH BLUFF DRIVE SUITE 205 SAN DIEGO, CA 92130



Date Mailed: 04/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

Customer Service Center No. Initial Patent Examination Division (703) 308-1202
PART 3 - OFFICE COPY

COPY OF PAPERS ORIGINALLY FILED

TRANSMITTAL	FORM	Application Number:	10/072,975 RECE	IVED
uto he used for all gorraspor	odanca aftar	Filing Date:	February 9, 2002	
to be used for all correspondence after initial filing)		First Named Inventor:	Efimov JUL 1	0 2002
		Group Art Unit:	To Be Determined ECH CENTE	R 1600/2900
8		Examiner:	To Be Determined	
otal Pages in This ubmission:	11	Attorney Docket Number:	AM-00102.P.1.1-US	

ENCLOSURES (check all that apply)						
[X] Fee Transmittal Form	[] Assignment Papers (for an Application)	[] After Allowance Communication to Group				
[X] Fee Attached	[] Drawing(s)	[] Appeal Communication to Board of Appeals and Interferences				
[] Amendment / Response	[] Licensing-related Papers	[] Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)				
[] After Final	[] Petition Routing Slip (PTO/SB/69) and Accompanying Petition	[] Proprietary Information				
[] Affidavits / Declarations(s)	[] Petition to Convert to a Provisional Application	[] Status Letter				
[] Extension of Time Requests	xtension of Time Requests [] Power of Attorney, Revocation, Change of Correspondence Address					
[] Express Abandonment Request	[] Terminal Disclaimer	Postcard Copy of Notice to File Missing				
[] Information Disclosure Statement	[] Small Entity Statement	Parts Response to Notice to File Missing Parts				
[] Certified Copy of Priority Document(s)	[] Request for Refund					
[X] Response to Missing Parts / Incomplete Application	Remarks:					
[] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53						

Submission:

r		RECE	IVED
SIC	GNATURE OF APPLICANT, ATTORNEY, OR AGENT	JUL_1 (2002
Firm or Individual Name	David R: Preston	302 1	2002
Signature	Charles St.	TECH CENTER	1600/2900
Date	Jen 3.2002		
	/		

CERTIFICATE OF MAILING						
	prespondence is being deposited with the United States is mail in an envelope addressed to: Assistant Commissioner O.C. 20231 on this date.	Date:	6/3			
Typed or printed name:	David R. Preston		4			
Signature	Lee John	Date:	0/3/02			





FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO SB-09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known			
Application Number:	10 072.975	N N N N N N N N N N N N N N N N N N N	
Filing Date:	February 9, 2002	168	
First Named Inventor:	Vladimir Efimof	2900	
Group / Art Unit:	To be determined		
Docket Number:	AM-102.P.1.1-US		

Total Amount of Payment

\$ 65.00

METHOD OF PAYMENT

1. [] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number: []
Deposit Account Name: []
[X] Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
[] Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.
2. [X] Payment Enclosed
[X] Check Number 1423
[] Money Order

FEE CALCULATION

1. BASIC FILING FEE

[] Other

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101		201		Utility Filing Fee	\$
106		206		Design Filing Fee	\$
107		207		Plant Filing Fee	\$
108		208		Reissue Filing Fee	\$
114		214		Provisional Filing Fee	\$
	<u></u>		SU	JBTOTAL (1)	\$ 0.00

2. EXTRA C	LAIM FE	ES						
				Extra Claims		Fee From Below		Fee Paid
Total Claims	[x]	-20 **	Equals	[x]	Times	[x]	Equals	\$ 0.00
Independen t Claims	[x]	-3 **	Equals	[x]	Times	[x]	Equals	\$ 0.00
				<u>-</u>		Subtota	al (2)	\$ 0.00
** or number	previously	paid, if grea	ter, For Reissi	ies, see belo	w			
	Large E	ntity	Small Enti	ty				
	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Desc	cription	
	103		203		Claims in	excess of 20		
	102		202		Independ	ent claims in ex	cess of 3	· · · · - -
	104		204		Multiple	dependent claim	ı, if not paid	
	109		209		** Reissu	e independent c	laims over o	original
	110		210		** Reissu	e claims in exce	ess of 20 and	l over

original patent

Large Entity Small Entity		Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
105		205	65 00	Surcharge - late filing fee or oath	\$ 65.00	
127		227		Surcharge - late provisional filing fee or cover sheet	S	
139		139		Non-English specification	S	
147		147		For filing a request for reexamination	\$	
112		112		Requesting publication of SIR prior to Examiner action	s	
113		113		Requesting publication of SIR after Examiner action	s	
115		215		Extension for reply within first month	S	
116		216		Extension for reply within second month	\$	
117		217		Extension for reply within third month	\$	
118		218		Extension for reply within fourth month	s	
128		228		Extension for reply within fifth month	\$	
119		219		Notice of Appeal	\$	
120		220		Filing a brief in support of an appeal	S	
121		221		Request for oral hearing	\$	
138		138		Petition to institute a public use proceeding	\$	
140		240		Petition or revive - unavoidable	\$	
141		241		Petition or revive - unintentional	\$	
142		242		Utility issue fee (or reissue)	\$	
143		243		Design issue fee	\$	
144		244		Plant issue fee	\$	
122		122		Petitions to the Commissioner	\$	
123		123		Petitions related to provisional applications	S	
126		126		Submission of Information Disclosure Statement	s	
581		581		Recording each patent assignment per property	\$	
146		246		Filing a submission after final rejection (37 C F R. 1 129(a))	S	
149		249		For each additional invention to be examined (37 CFR 1 129(b))	S	
Other fee (. manufi i		•		S	

Subtotal (3) \$ 65 (H)
1 Subtota: (3) 3 03 1	Λ.)
1	

4. SUMMATION OF FEES					
SUBTOTAL (1)	\$ 0.00				
SUBTOTAL (2)	\$ 0.00				
SUBTOTAL (3)	\$ 65.00				
TOTAL FEES	\$ 65.00				

SUBMITTED BY			Complete (If Applicable)	
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature	(Line)	Date:	Deposit Account User ID Number	501321